



PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/755,990
Filing Date	January 13, 2004
First Named Inventor	Kirk Prall
Art Unit	
Examiner Name	
Attorney Docket Number	MIO 0065 VA/40509.282

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,964,080	10/16/1990	Tzeng	
		US- 5,386,132	01/31/1995	Wong	
		US- 5,495,441	02/27/1996	Hong	
		US- 5,877,525	03/02/1999	Ahn	
		US- 5,990,509	11/23/1999	Burns, Jr.	
		US- 5,936,274	08/10/1999	Forbes et al	
		US- 5,969,383	10/19/1999	Chang et al.	
		US- 5,998,261	12/07/1999	Hofmann et al.	
		US- 6,091,105	07/18/2000	Gardner et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ² -Number ⁴ -Kind Code ⁵ (if known)				
		JP 09260580	12/11/1991	Kenji		
		WO 99/43030	08/26/1999	Hofmann		

NO TRANSLATION

Examiner Signature		Date Considered	3/4/2005
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Art Unit	
Examiner Name	
Attorney Docket Number	MIO 0065 VA/40509.282

Sheet

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PEIN et al.; Fellow, IEEE, Performance of the 3-D PENCIL Flash EPROM Cell and Memory Array, IEEE Transactions on Electronic Devices, November 1995, p. 1982-1991, Vol. 42, No. 11	
		NAKAGAWA et al.; A Flash EEPROM Cell with Self-Aligned Trench Transistor & Isolation Structure, ULSI Device Development Laboratory, "VLSI Manufacturing Engineering Division, NEC Corporation, p. 1123, Shimokuzawa, Sagamihara, Japan	

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